



Ref. no.: 2784-85/2022

Desk:

Re: conclusion of the audit procedure conducted at Interactive Brokers Central Europe Zártkörűen Működő Részvénytársaság with the application of measures

Resolution No. H-JÉ-III-73/2022.

Based on the findings of the ex-officio thematic audit conducted at **Interactive Brokers Central Europe Zártkörűen Működő Részvénytársaság (registered office: 1075 Budapest, Madách Imre út 13-14., Building A, 5th floor; company registration number: 01-10-141029) (the "Company")**, the National Bank of Hungary (registered office: 1013 Budapest, Krisztina krt. 55., permanent establishment: 1122 Budapest, Krisztina krt. 6.) (the "MNB") has adopted the following

R e s o l u t i o n

- I. The MNB calls upon the Company to make sure that it assesses, continuously in the course of its operations but no later than within 90 days of receipt of this Resolution, the knowledge and experience of its clients in defining the negative target market, and to revise its target market categories, on the basis of such assessment, in its target market definition methodology and product governance policy.**
- II. In the context of extraordinary data disclosure, the MNB obliges the Company to send to the MNB the internal audit reports on the implementation of the provisions of Section 1 (as discussed by the Board and approved by the Supervisory Board) as well as the documents serving as the basis thereof that demonstrate the implementation of the actions set out in Section 1 of the operative part of this Resolution within 30 days of the expiry of the time limit specified therein.**

The Company shall publish the operative part of this Resolution on its website within 15 days of the delivery hereof.

The MNB draws the Company's attention to the fact that if it fails to comply with the obligations set out in this Resolution or fails to comply fully or in a timely manner, the MNB may take further statutory measures, including the imposition of penalties.

No procedural costs were incurred during the audit.

Budapest, 21 December 2022

For and on behalf of the National Bank of Hungary

Capital Market and Market Supervision Directorate

ELECTRONICALLY SIGNED DOCUMENT